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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	09/911,683
Filing Date	July 24, 2001
Confirmation Number	4375
First Named Inventor	Gerrit Klaerner
Group Art Unit	1641
Examiner Name	Mary Ceperley
Attorney Docket No.	SMX 3093.3(99-100D1)

Sheet 1 of 1

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)			
MEC	167	EP	1 095 711	A2	Novartis AG	05-02-2001	
MEC	168	WO	96/00251	A1	The Dow Chemical Company	01-04-1996	
MEC	169	WO	00/65352	A1	Eidgenossisch Technische Hochschule Zurich	11-02-2000	

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
MEC	170	INGALL et al. "Surface Functionalization with Polymer and Block Copolymer Films Using Organometallic Initiators" J. Am. Chem. Soc., Vol. 122, No. 32 (2000) pp. 7845-7846.	
MEC	171	International Search Report for analogous application No. PCT/US02/00746 dated April 29, 2003.	

Examiner Signature	Mary E. Ceperley	Date Considered	02/05/04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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